

Substitute for Form 1448A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 11

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

U.S. PATENT DOCUMENTS

Exam initiate	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code* (if known)			
	388000		Rider		8/14/1888	
	1151861		Brumback		Aug-15	
	2056688		Peterka et al.		Oct-36	
	2526959		Lorenzo		Oct-50	
	3025853		Mason		Mar-62	
	3236141		Smith		Nov-66	
	3709218		Halloran		Jan-73	
	3717146		Halloran		Feb-73	
	3842825		Wagner		Oct-74	
	3939498		Lee et al.		Feb-76	
	4011863		Zickel		Mar-77	
	4119092		Gil		Oct-78	
	4135507		Harris		Jan-73	
	4153953		Grobbealaar		May-79	
	4169470		Ender et al.		Oct-79	
	4172452		Forte et al.		Oct-79	
	4408601		Wenk		Oct-83	
	4467793		Ender		Aug-84	
	4473069		Kolmert		Sep-84	
	4483335		Tornier		Nov-84	
	4488543		Tornier		Dec-84	
	4493317		Klaue		Jan-85	
	4506662		Anapliots		Mar-85	
	4565193		Streli		Jan-86	

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		Number	Kind Code* (if known)			
	4651724			Berentey et al.	Mar-87	
	4712541			Harder et al.	Dec-87	
	4733654			Marino	Mar-93	
	4776330			Chapman et al.	Oct-88	
	4794919			Nilsson	Jan-89	
	4800874			David et al.	Jan-89	
	4915092			Firica et al.	Apr-90	
	4923471			Morgan	May-90	
	4955886			Pawluk	Sep-90	
	5013314			Firica et al.	May-91	
	5035697			Frigg	Jul-91	
	5041113			Biedermann et al.	Aug-91	
	5057110			Kranz et al.	Oct-91	
	5085660			Lin	Feb-92	
	5127912			Ray et al.	Jul-92	
	5151103			Tepic et al.	Sep-93	
	5190544			Chapman et al.	Mar-93	
	5197966			Sommerkamp	Mar-93	
	5201733			Etheredge, III	Apr-93	
	5275601			Gogolewski et al.	Jan-94	
	5352228			Kummer et al.	Oct-94	
	5352229			Goble et al.	Oct-94	
	5356253			Whitesell	Oct-94	
	5356410			Pennig	Oct-94	

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		Number	Kind Code* (if known)			
	5364399			Lowery et al.	Nov-94	
	5382248			Jacobson et al.	Jan-96	
	5458654			Tepic	Oct-95	
	5472444			Huebner et al.	Dec-95	
	5484438			Pennig	Jan-96	
	5486176			Hildebrand et al.	Jan-96	
	5527311			Procter et al.	Jun-96	
	5531746			Errico et al.	Jul-96	
	5536127			Pennig	Jul-96	
	5549612			Yapp et al.	Aug-96	
	5558674			Heggeness et al.	Sep-96	
	5578035			Lin	Nov-96	
	5586985			Putnam et al.	Dec-96	
	5591168			Judet et al.	Jan-97	
	5601553			Trebing et al.	Feb-97	
	5603715			Kessler	Feb-97	
	5662655			Laboureau et al.	Sep-97	
	5665086			Itoman et al.	Sep-97	
	5665087			Huebner	Sep-97	
	5665089			Dall et al.	Sep-97	
	5669915			Caspar et al.	Sep-97	
	5676667			Hausman	Oct-97	
	5709682			Medoff	Jan-98	
	5709686			Talos et al.	Jan-98	

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		Number	Kind Code* (if known)			
		5718705		Sammarco	Feb-98	
		5728099		Tellman et al.	Mar-98	
		5733287		Tepic et al.	Mar-98	
		5749872		Kyle et al.	May-98	
		5766174		Perry	Jun-98	
		5772662		Chapman et al.	Jun-98	
		5776194		Mikol et al.	Jul-98	
		5807396		Raveh	Sep-99	
		5851207		Cesarone	Dec-98	
		5931839		Medoff	Aug-99	
		5935128		Carter et al.	Aug-99	
		5938664		Winquist et al.	Aug-99	
		5941878		Medoff	Aug-99	
		5951557		Luter	Sep-99	
		5951878		Medoff	Aug-99	
		5954722		Bono	Sep-99	
		5964763		Incavo	Oct-99	
		5967046		Muller	Oct-99	
		5968046		Castleman	Oct-99	
		6007535		Rayhack et al.	Dec-99	
		6010505		Asche et al.	Jan-00	
		6123709		Jones	Sep-00	
		6129730		Bono et al.	Oct-00	
		6146384		Lee et al.	Nov-00	

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		Number	Kind Code* (if known)			
	6152927			Farris et al.	Nov-00	
	6183475			Lester et al.	Feb-01	
	6206881			Frigg et al.	Mar-01	
	6228285			Wang et al.	May-01	
	6231576			Frigg et al.	May-01	
	6235034			Bray	May-01	
	6238395			Bonutti	May-01	
	6241736			Sater et al.	Jun-01	
	6248109			Stoffella	Jun-01	
	6258089			Campbell et al.	Jul-01	
	6270499			Leu et al.	Aug-01	
	6355041			Martin	Mar-02	
	6355043			Adam	Mar-02	
	6358250			Orbay	Mar-02	
	6379359			Dahners	Apr-02	
	6383186			Michelson	May-02	
	6409768			Tepic et al.	Jun-02	
	6454769			Wagner et al.	Sep-02	
	6454770			Klaue	Sep-02	
	6458133			Lin	Oct-02	
	6468278			Muckter	Oct-02	
	6508819			Orbay	Jan-03	

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		Number	Kind Code* (if known)			
		6540748		Lombardo	Mar-03	
		6599290		Bailey et al.	Jul-03	
		6623486		Weaver et al.	Sep-03	
		6626908		Cooper et al.	Sep-03	
		6645212		Goldhahn et al.	Nov-03	
		6669700		Farris et al.	Dec-03	
		6679883		Hawkes et al.	Jan-04	
		6692503		Foley	Feb-04	
		6706046		Orbay et al.	Mar-04	
		6712820		Orbay	Mar-04	
		6719758		Beger et al.	Apr-04	
		6730090		Orbay et al.	May-04	
		6730090		Pfefferle et al.	May-04	
		6755831		Putnam	Jun-04	
		6761719		Justis et al.	Jul-04	
		6767351		Orbay et al.	Jul-04	
		6780186		Errico et al.	Aug-04	
		6866665		Orbay	Mar-05	
		6926720		Castaneda	Aug-05	
		6955677		Dahners	Oct-05	
		6974461		Wolter	Dec-05	
		7090676		Huebner et al.	Aug-06	
		7153309		Huebner et al.	Dec-06	
		2001/0001119		Lombardo	May-01	

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		Kind Code/ Number	(if known)			
		2001/0011172		Orbay et al.	Aug-01	
		2001/0021851		Eberlein et al.	Sep-01	
		2002/0032446		Orbay	Mar-02	
		2002/0049445		Hall IV et al.	Apr-02	
		2002/0058939		Wagner et al.	May-02	
		2002/0058941		Clark et al.	May-02	
		2002/0111629		Phillips	Aug-02	
		2002/0147452		Medoff et al.	Oct-02	
		2002/0156474		Wack et al.	Oct-02	
		2003/0045880		Michelson	Mar-03	
		2003/0078583		Biedermann et al.	Apr-03	
		2003/0083661		Orbay et al.	May-03	
		2003/0135212		Chow	Jul-03	
		2003/0153919		Harris	Aug-03	
		2003/0216735		Altarac et al.	Nov-03	
		2004/0059334		Weaver et al.	Mar-04	
		2004/0059335		Weaver et al.	Mar-04	
		2004/0068319		Cordaro	Apr-04	
		2004/0073218		Dahmers	Apr-04	
		2004/0097934		Farris et al.	May-04	
		2004/0102778		Huebner et al.	May-04	
		2004/0111090		Dahmers	Jun-04	
		2004/0260291		Jensen	Dec-04	
		2005/0004574		Muckter	Jan-05	

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		2005/0131413		O'Driscoll et al.	Jun-05	
		2005/0154392		Medoff et al.	Jul-05	
		2005/0165400		Fernandez	Jul-05	
		D443060		Benirschke et al.	May-01	
		RE28841		Allgower et al.	Jun-76	
		472913		Taylor	April-1892	
		2500370		McKibbin	Mar-1950	
		3645161		Wesker	Feb-72	
		3741205		Marlkoff et al.	Jun-73	
		4484570		Sutter et al.	Nov-84	
		4867144		Kara et al.	Sep-89	
		5008120		Carter	Apr-94	
		5015248		Burstein et al.	May-91	
		5304180		Slocum	Apr-94	
		5437667		Papierski et al.	Aug-95	
		5531745		Ray	Jul-96	
		5607426		Ralph et al.	Mar-97	
		5853413		Carter et al.	Dec-98	
		5879350		Sherman	Mar-99	
		5968047		Reed	Oct-99	
		5989254		Katz	Nov-99	
		6010503		Richelsoph	Jan-00	
		6022350		Ganem	Feb-00	
		6053917		Sherman	Apr-00	

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Sheet 11 of 11

Confirmation Number	2971
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Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5667USCIP3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam Institute *	Cite No. *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published T#
		"Advances in distal Radius Fracture Management (D)", transcript of American Academy of Orthopaedic Surgeons 2001 Conf.; pp. 134-151, Feb. 28, 2001 including Article by Matthew D. Putnam MD, "Repair and Rehabilitation of Distal Fractures: The Role of Subchondral Fixation" at pp. 144-147.
		"Numelock II Polyaxial Locking System," STRYKER CORPORATION, brochure.
		"SCS.TM./D Distal Radius Plate System: Dorsal", Avanta 1997.
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		"SMARTLock Locking Screw Technology," STRYKER CORPORATION, website description, 2004, www.stryker.com
		"Summary of Safety and Effectiveness Information", Synthes.RTM.; Jul. 29, 1998.
		"The Distal Radius Plate Instrument and Implant Set", Technique Guide, SYNTHERS.RTM., Paoli, PA 1995.
		"The Titanium Distal Radius Plate", Technique Guide, SYNTHERS.RTM., Paoli, PA, 1995.
		"Universal Distal Radius System", STRYKER CORPORATION, website description, 2004, www.stryker.com
		"VAL PLATE (Volar Angle Locking) for Distal Radius Fractures", US IMPLANTS, brochure.
		"Volar Peg Plate Insertion Technique", TRIMED, INC., brochure.
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		"Volare Winkelstabile Radiusplatte", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), SPECTROMED, brochure, 2005, Austria.
		MOFTAKHAR, Roham, M.D. and TROST, Gregory R., M.D., "Anterior Cervical Plates: A Historical Perspective", January 2004, pp. 1-5.
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		Polyaxial and Monoaxial Spinal Screws, XIA.TM. Spinal System, www.osteonics.com/osteonics/spine/xia2.html, June 25, 2002

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